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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Xue-Feng Pei, Baoqing Li, and Maria-Luisa Maccacchini  
Serial No.: 09/882,843 ✓ Filed: June 15, 2001  
Group Art Unit: 1614 Examiner: Not yet assigned  
For: *5H-2,3-Benzodiazepine Antagonists of Excitatory Amino Acid Receptors* ✓

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

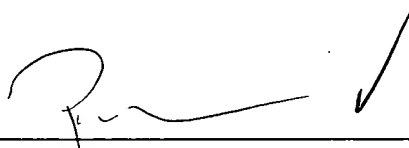
**TRANSMITTAL OF FORMAL DRAWINGS**

Sir:

Applicants enclose 1 sheet of formal drawings for filing in the above-identified application. These formal drawings are being filed after receipt of the Notice of Allowance, mailed May 15, 2002.

It is believed that no fee is required with this submission. However, should a fee be required, the Commissioner is hereby authorized to charge the fee to Deposit Order Account No. 50-1868. A duplicate of this transmittal is enclosed to facilitate this process.

Respectfully submitted,

  
\_\_\_\_\_  
Patrea L. Pabst  
Reg. No. 31,284

Date: May 15, 2002

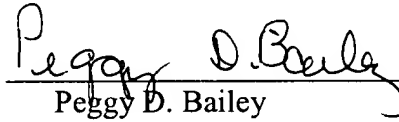
HOLLAND & KNIGHT LLP  
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Atlanta, GA 30309-3400  
(404) 817-8473 (Telephone)  
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CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that the Formal Drawings, along with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

  
Peggy D. Bailey

Date: May 15, 2002

ATL1 #524339 v1

SYM 114  
077832.00041



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1624

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PTO/SB/21 (08-00)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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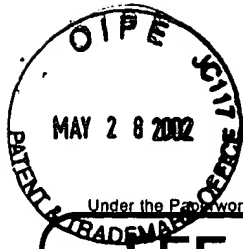
|  |                        |                      |
|--|------------------------|----------------------|
| <b>TRANSMITTAL<br/>FORM</b><br><i>(to be used for all correspondence after initial filing)</i> | Application Number     | 09/882,843           |
|  | Filing Date            | 1614                 |
|  | First Named Inventor   | Xue-Feng Pei, et al. |
|  | Group Art Unit         | 1614                 |
|  | Examiner Name          | Not yet assigned     |
| Total Number of Pages in This Submission   | Attorney Docket Number | SYM 114              |

| ENCLOSURES (check all that apply)  |   |  |
|--|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form                     | <input type="checkbox"/> Assignment Papers (for an Application)                         | <input type="checkbox"/> After Allowance Communication to Group                            |
| <input type="checkbox"/> Fee Attached  | <input checked="" type="checkbox"/> Drawing(s)  | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences        |
| <input type="checkbox"/> Amendment / Reply                                   | <input type="checkbox"/> Licensing-related Papers                                       | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition   | <input type="checkbox"/> Proprietary Information   |
| <input type="checkbox"/> Affidavits/declaration(s)                           | <input type="checkbox"/> Petition to Convert to a Provisional Application               | <input type="checkbox"/> Status Letter   |
| <input type="checkbox"/> Extension of Time Request                           | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):            |
| <input type="checkbox"/> Express Abandonment Request                         | <input type="checkbox"/> Terminal Disclaimer  | Certificate of Mailing (37 C.F.R. 18a)   |
| <input type="checkbox"/> Information Disclosure Statement                    | <input type="checkbox"/> Request for Refund   | Postcard   |
| <input type="checkbox"/> Certified Copy of Priority Document(s)              | <input type="checkbox"/> CD, Number of CD(s) _____                                      |  |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application    | Remarks   |  |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 |   |  |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |  |
|--|--|
| Firm or Individual name                    | Patarea L. Pabst, Reg. No. 31,284<br>Suite 2000, One Atlantic Center; 1201 West Peachtree Street, N.E.; Atlanta, GA 30309-3400 |
| Signature                                  |  |
| Date                                       | May 14, 2002   |

| CERTIFICATE OF MAILING  |                 |
|---|-----------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: May 15, 2002 |                 |
| Typed or printed name   | Peggy D. Bailey |
| Signature   |                 |
| Date  | May 15, 2002    |

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 0.00

## Complete if Known

Application Number 09/882,843

Filing Date June 15, 2001

First Named Inventor Xue-Feng Pei, et al.

Examiner Name Not yet assigned.

Group Art Unit 1614

Attorney Docket No. SYM 114

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## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 50-1868

Deposit Account Name Holland & Knight LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Small Entity

| Fee Code (\$) | Fee Code (\$) | Fee Description        | Fee Paid |
|---------------|---------------|------------------------|----------|
| 101 740       | 201 370       | Utility filing fee     |          |
| 106 330       | 206 165       | Design filing fee      |          |
| 107 510       | 207 255       | Plant filing fee       |          |
| 108 740       | 208 370       | Reissue filing fee     |          |
| 114 160       | 214 80        | Provisional filing fee |          |

SUBTOTAL (1) (\$ ) 0.00

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Independent Claims | - 20 =       | X              |          |
| Multiple Dependent | - 3 =        | X              |          |

Large Entity Small Entity

| Fee Code (\$) | Fee Code (\$) | Fee Description  |
|---------------|---------------|--|
| 103 18        | 203 9         | Claims in excess of 20                                     |
| 102 84        | 202 42        | Independent claims in excess of 3                          |
| 104 280       | 204 140       | Multiple dependent claim, if not paid                      |
| 109 84        | 209 42        | ** Reissue independent claims over original patent         |
| 110 18        | 210 9         | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$ ) 0.00

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Small Entity

| Fee Code (\$) | Fee Code (\$) | Fee Description  | Fee Paid |
|---------------|---------------|--|----------|
| 105 130       | 205 65        | Surcharge - late filing fee or oath  |          |
| 127 50        | 227 25        | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139 130       | 139 130       | Non-English specification  |          |
| 147 2,520     | 147 2,520     | For filing a request for <i>ex parte</i> reexamination                     |          |
| 112 920*      | 112 920*      | Requesting publication of SIR prior to Examiner action                     |          |
| 113 1,840*    | 113 1,840*    | Requesting publication of SIR after Examiner action                        |          |
| 115 110       | 215 55        | Extension for reply within first month                                     |          |
| 116 400       | 216 200       | Extension for reply within second month                                    |          |
| 117 920       | 217 460       | Extension for reply within third month                                     |          |
| 118 1,440     | 218 720       | Extension for reply within fourth month                                    |          |
| 128 1,960     | 228 980       | Extension for reply within fifth month                                     |          |
| 119 320       | 219 160       | Notice of Appeal   |          |
| 120 320       | 220 160       | Filing a brief in support of an appeal                                     |          |
| 121 280       | 221 140       | Request for oral hearing   |          |
| 138 1,510     | 138 1,510     | Petition to institute a public use proceeding                              |          |
| 140 110       | 240 55        | Petition to revive - unavoidable   |          |
| 141 1,280     | 241 640       | Petition to revive - unintentional   |          |
| 142 1,280     | 242 640       | Utility issue fee (or reissue)   |          |
| 143 460       | 243 230       | Design issue fee   |          |
| 144 620       | 244 310       | Plant issue fee  |          |
| 122 130       | 122 130       | Petitions to the Commissioner  |          |
| 123 50        | 123 50        | Processing fee under 37 CFR 1.17(q)  |          |
| 126 180       | 126 180       | Submission of Information Disclosure Stmt                                  |          |
| 581 40        | 581 40        | Recording each patent assignment per property (times number of properties) |          |
| 146 740       | 246 370       | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149 740       | 249 370       | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179 740       | 279 370       | Request for Continued Examination (RCE)                                    |          |
| 169 900       | 169 900       | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 0.00

## SUBMITTED BY

Name (Print/Type) Patrea L. Pabst

Signature

Registration No. (Attorney/Agent)

31,284

## Complete (if applicable)

Telephone (404) 817-8473

Date May 15, 2002

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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